



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant (s): Neil Cowen, et al

Serial No.: 10/603,524

Filed: June 25, 2003

Group Art Unit:

Examiner:

For: USE OF REGULATORY SEQUENCES IN TRANSGENIC PLANTS

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING
DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS
FIRST CLASS MAIL WITH SUFFICIENT POSTAGE IN AN ENVELOPE
ADDRESSED TO: COMMISSIONER FOR PATENTS, ALEXANDRIA, VA
22313, ON:

April 14, 2004

DATE OF DEPOSIT

Michele Lyons

PRINT OR TYPE NAME OF PERSON SIGNING CERTIFICATE

Michele Lyons

SIGNATURE OF PERSON SIGNING CERTIFICATE

April 14, 2004

DATE OF SIGNATURE

Commissioner for Patents
Alexandria, VA 22313

Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to Applicant's duty of disclosure under 37 CFR §1.56, the
Examiner's attention is directed to the information identified in the attached Form
PTO 1449.

A copy of all cited patents and printed publications is enclosed. The
Examiner is requested to review each reference and formulate his own understanding
thereof.

Respectfully submitted,

Ronald S. Maciak

Ronald S. Maciak

Registration No. 35,262

Phone: 317-337-4829

9330 Zionsville Road
Indianapolis, IN 46268

:ml
Enclosures



INFORMATION DISCLOSURE STATEMENT

(Use Several Sheets if necessary)

ATTY DOCKET NO.

50,528A

SERIAL NO.

10/603,524

APPLICANT

Cowen, et al

FILING DATE

June 25, 2003

GROUP

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
	AA	5,362,865	11/1994	Austin	536	24.1	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES NO

OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages, Place of Publication, Etc.)

CA	de Vetten, N.C., et al. "Two Genes Encoding GF14 (14-3-3) Proteins in <i>Zea mays</i> ", Plant Physiol. (1994) 106: 1593-1604
CB	Brendel, V., et al. "Intron Recognition in Plants" American Society of Plant Physiologists (1998)
CC	Johnson, M.A., et al. "Determinants of mRNA Stability in Plants" American Society of Plant Physiologists (1998)
CD	Marcotte, Jr. W.R., et al. "Developmental Regulation of Translation and mRNA Stability" American Society of Plant Physiologists (1998)
CE	Schuler, M.A. "Plant pre-mRNA Splicing" American Society of Plant Physiologists (1998)
CF	Paek, N.C., et al. "Inhibition of Germination Gene Expression by <i>Viviparous-1</i> and ABA during Maize Kernel Development" Molecules and Cells, Vol. 8, No. 3, pp. 336-342 (1998)

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to Applicant.